

## Exhibit 300: Capital Asset Summary

### Part I: Summary Information And Justification (All Capital Assets)

#### Section A: Overview & Summary Information

**Date Investment First Submitted:** 2009-06-30  
**Date of Last Change to Activities:** 2012-08-01  
**Investment Auto Submission Date:** 2012-02-29  
**Date of Last Investment Detail Update:** 2012-02-29  
**Date of Last Exhibit 300A Update:** 2012-06-30  
**Date of Last Revision:** 2012-08-01

**Agency:** 005 - Department of Agriculture      **Bureau:** 47 - Risk Management Agency

**Investment Part Code:** 01

**Investment Category:** 00 - Agency Investments

**1. Name of this Investment:** RMA-01 Financial Management Systems (FMS)

**2. Unique Investment Identifier (Ull):** 005-000000064

#### Section B: Investment Detail

- 1. Provide a brief summary of the investment, including a brief description of the related benefit to the mission delivery and management support areas, and the primary beneficiary(ies) of the investment. Include an explanation of any dependencies between this investment and other investments.**

The Financial Management System (FMS) investment (RMA-01) was approved as a steady state investment for the current fiscal year. RMA received permission to replace the applications in this investment via the Emerging Information Technology Architecture (EITA) (RMA-13, ITM) reengineering effort. Therefore, the expected date for RMA-01 to be shut down depends on the development schedule and implementation of the corresponding replacement applications in RMA-13. Processing under EITA began with Reinsurance Year 2011. RMA's legacy systems processed all active Reinsurance Years through 2010. Although Reinsurance Year 2010 ran from 7/01/2009 through 6/30/2010, RMA's 2010 Reinsurance Year processing cycle began with Actuarial Filing (RMA-02) in October 2008. It included processing records sent by Approved Insurance Providers (RMA-01 and RMA-02), production of monthly Accounting and Reinsurance Reports (RMA-01 and RMA-02), and processing of Escrow payments for each Approved Insurance Provider (RMA-01). Processing will not be complete until 3 years after Annual Settlement (2/2014). At that time, shutdown will begin for systems under this investment (RMA-01). The legacy systems will need to remain on the Sun computers until historical data is incorporated into the new systems on the SQL Server databases being developed under EITA (RMA-13). Until significant portions of this investment (RMA-01) are reengineered, the FMS is the only financial system used by RMA/FCIC to carry out financial management functions, manage

financial operations of the Agency and report on the Agency's financial status to central agencies, Congress, and the public. Until reengineering of key financial systems is complete, the FMS continues to be the financial system of record for the Agency and Corporation.

**2. How does this investment close in part or in whole any identified performance gap in support of the mission delivery and management support areas? Include an assessment of the program impact if this investment isn't fully funded.**

This investment is fully funded through FY2014, and is targeted for shutdown in FY2014. FMS is the only financial system used by RMA/FCIC to carry out financial management functions, manage financial operations of the Agency and report on the Agency's financial status to central agencies, Congress, and the public. As the financial system of record, FMS is critical to the mission of RMA.

**3. Provide a list of this investment's accomplishments in the prior year (PY), including projects or useful components/project segments completed, new functionality added, or operational efficiency achieved.**

FMS is in Steady State, so it is not adding components or functionality. It has solely O&M costs. The applications associated with this investment will be replaced during the reengineering effort under EITA. Escrow During FY2011, the Escrow application brought up a new reinsurance year for processing and completed 12 change requests for monthly maintenance. ITS Ineligible Tracking System deployed 19 maintenance fixes including monthly maintenance, but did not add any functionality. ITS will be replaced by the modernization and reengineering effort under EITA. RAS The Reinsurance Accounting System, which completed 21 CRs, will also be replaced by the modernization and reengineering effort under EITA.

**4. Provide a list of planned accomplishments for current year (CY) and budget year (BY).**

No new functionality is planned because this is a Steady State investment. Monthly maintenance will continue.

**5. Provide the date of the Charter establishing the required Integrated Program Team (IPT) for this investment. An IPT must always include, but is not limited to: a qualified fully-dedicated IT program manager, a contract specialist, an information technology specialist, a security specialist and a business process owner before OMB will approve this program investment budget. IT Program Manager, Business Process Owner and Contract Specialist must be Government Employees.**

1997-10-01

## Section C: Summary of Funding (Budget Authority for Capital Assets)

1.

Table I.C.1 Summary of Funding

	PY-1 & Prior	PY 2011	CY 2012	BY 2013
Planning Costs:	\$0.0	\$0.0	\$0.0	\$0.0
DME (Excluding Planning) Costs:	\$5.2	\$0.0	\$0.0	\$0.0
DME (Including Planning) Govt. FTEs:	\$0.0	\$0.0	\$0.0	\$0.0
Sub-Total DME (Including Govt. FTE):	\$5.2	0	0	0
O & M Costs:	\$9.1	\$1.4	\$1.6	\$1.6
O & M Govt. FTEs:	\$8.4	\$0.5	\$0.5	\$0.2
Sub-Total O & M Costs (Including Govt. FTE):	\$17.5	\$1.9	\$2.1	\$1.8
Total Cost (Including Govt. FTE):	\$22.7	\$1.9	\$2.1	\$1.8
Total Govt. FTE costs:	\$8.4	\$0.5	\$0.5	\$0.2
# of FTE rep by costs:	103	4	2	2
Total change from prior year final President's Budget (\$)		\$0.8	\$1.3	
Total change from prior year final President's Budget (%)		72.00%	160.10%	

**2. If the funding levels have changed from the FY 2012 President's Budget request for PY or CY, briefly explain those changes:**

No change. Costs reduced by \$77,000 due to savings in the hosting cost for this system and in aggregation of sub-systems (YEA, POO) nearing end-of-lifecycle.

## Section D: Acquisition/Contract Strategy (All Capital Assets)

Table I.D.1 Contracts and Acquisition Strategy

Contract Type	EVM Required	Contracting Agency ID	Procurement Instrument Identifier (PIID)	Indefinite Delivery Vehicle (IDV) Reference ID	IDV Agency ID	Solicitation ID	Ultimate Contract Value (\$M)	Type	PBSA ?	Effective Date	Actual or Expected End Date
Awarded	4732	<a href="#">GST0011AJ0019</a>	GS00Q09BGD0048	4735							

**2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:**

EVM is required for all DM&E efforts; there is not currently any DM&E work underway in this O&M investment.

## Exhibit 300B: Performance Measurement Report

### Section A: General Information

**Date of Last Change to Activities:** 2012-08-01

### Section B: Project Execution Data

**Table II.B.1 Projects**

Project ID	Project Name	Project Description	Project Start Date	Project Completion Date	Project Lifecycle Cost (\$M)
FMS-01	Hosting Apportionment, maintenance and software fixes	Steady State including Escrow, Ineligible tracking, reconciliation, and RAS.			

### Activity Summary

Roll-up of Information Provided in Lowest Level Child Activities

Project ID	Name	Total Cost of Project Activities (\$M)	End Point Schedule Variance (in days)	End Point Schedule Variance (%)	Cost Variance (\$M )	Cost Variance (%)	Total Planned Cost (\$M)	Count of Activities
FMS-01	Hosting Apportionment, maintenance and software fixes							

### Key Deliverables

Project Name	Activity Name	Description	Planned Completion Date	Projected Completion Date	Actual Completion Date	Duration (in days)	Schedule Variance (in days )	Schedule Variance (%)
--------------	---------------	-------------	-------------------------	---------------------------	------------------------	--------------------	------------------------------	-----------------------

NONE

## Section C: Operational Data

Table II.C.1 Performance Metrics

Metric Description	Unit of Measure	FEA Performance Measurement Category Mapping	Measurement Condition	Baseline	Target for PY	Actual for PY	Target for CY	Reporting Frequency
Increase crop participation rate for the 10 staple crops.	Report	Customer Results - Service Coverage	Over target	82.600000	82.600000	83.900000	83.900000	Monthly
(1) Unqualified audit opinion on the Financial Statements, (2) no material weaknesses, (3) no non-conformances. (4) A123 Insurance Cycle Audit receive clean findings.	Report	Mission and Business Results - Management of Government Resources	Over target	100.000000	100.000000	100.000000	100.000000	Semi-Annual
(1) Increase crop participation rate for the 10 staple crops. (2) Enhance program integrity through operational reviews conducted of insurance companies receiving funding through FCIC. (3) Enhance program integrity through annual financial analysis o	Report	Process and Activities - Financial	Over target	82.600000	82.600000	83.900000	83.900000	Monthly
Maintaining and operating the Agency's financial systems.	Report	Technology - Technology Costs	Over target	100.000000	100.000000	100.000000	100.000000	Monthly
Enhance program integrity through operational reviews conducted of insurance companies receiving funding through FCIC.	Findings	Mission and Business Results - Management of Government Resources	Over target	16.000000	16.000000	16.000000	16.000000	Quarterly

Table II.C.1 Performance Metrics

Metric Description	Unit of Measure	FEA Performance Measurement Category Mapping	Measurement Condition	Baseline	Target for PY	Actual for PY	Target for CY	Reporting Frequency
Enhance program integrity through annual financial analysis of improper payment rates.	Ratings	Mission and Business Results - Management of Government Resources	Over target	5.790000	5.790000	6.050000	5.790000	Quarterly
A123 Insurance Management Cycle Audit (new measure).	Completion Rate	Technology - Technology Costs	Over target	100.000000	100.000000	100.000000	100.000000	Semi-Annual